# Dimension(s) of compact *F*-spaces Quidquid latine dictum sit, altum videtur

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Hejnice, 1. Únor, 2012: 17:30 - 18:10







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# Hurewicz' theorem

### Theorem

Let X be separable and metrizable and  $n \in \mathbb{N}$ .



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# Hurewicz' theorem

#### Theorem

Let X be separable and metrizable and  $n \in \mathbb{N}$ . Then the dimension of X is at most n if and only if there are a zero-dimensional, separable and metrizable space Y and a closed continuous surjection  $f : Y \to X$  such that  $|f^{\leftarrow}(x)| \leq n+1$  for all  $x \in X$ .



# About the proofs

One direction uses the large inductive dimension.

#### Theorem

If Y is normal and strongly zero-dimensional and  $f : Y \to X$  is closed, continuous and onto with  $|f^{\leftarrow}(x)| \leq n+1$  for all  $x \in X$  then  $\operatorname{Ind} X \leq n$ .



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# About the proofs

## Proof.

## By induction (of course).



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# About the proofs

#### Proof.

By induction (of course). Given disjoint closed sets A and B in X find a closed set Z in Y such that f[Z] is a partition between and  $f \upharpoonright Z$  has fibers of size at most n.



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# About the proofs

#### Proof.

By induction (of course).

Given disjoint closed sets A and B in X find a closed set Z in Y such that f[Z] is a partition between and  $f \upharpoonright Z$  has fibers of size at most n.

The speaker draws an instructive picture ...



# About the proofs

The other direction uses the covering dimension dimension. dim  $X \leq n$  iff for every open cover  $\mathcal{U}$  of X of cardinality n + 2there is an open refinement  $\mathcal{V} = \{V_U : U \in \mathcal{U}\}$  with  $\bigcap \{ \text{cl } V : V \in \mathcal{V} \} = \emptyset.$ Refinement:  $V_U \subseteq U$  for all U and  $\bigcup \mathcal{V} = X$ .



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# About the proofs

#### Theorem

If X is compact and metrizable with dim  $X \leq n$  then there are a zero-dimensional, compact and metrizable space Y and a continuous surjection  $F : Y \to X$  with  $|f^{\leftarrow}(x)| \leq n+1$  for all  $x \in X$ .



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# About the proofs

#### Proof.

Idea of proof: make finite closed covers of order at most n + 1; give these the discrete topology; take their product and let Y be a suitable subspace of that product.



# What makes this work?

The reason we have an equivalence is the fundamental fact from dimension theory that dim X = ind X = Ind X for all separable and metrizable X.



# What makes this work?

- The reason we have an equivalence is the fundamental fact from dimension theory that dim X = ind X = Ind X for all separable and metrizable X.
- And the compactification theorem: a separable and metrizable space has a metric compactification with the same dimension(s).







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# *F*-spaces of weight c

# Experience has taught us that compact F-spaces of weight $\mathfrak{c}$ behave in many ways like compact metrizable spaces



# *F*-spaces of weight c

Experience has taught us that compact F-spaces of weight  $\mathfrak{c}$  behave in many ways like compact metrizable spaces, *provided the Continuum Hypothesis holds* 



# *F*-spaces of weight c

Remember: X is an F-space if every finitely generated ideal in  $C^*(X)$  is principal.



# *F*-spaces of weight c

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Or, somewhat more topological: disjoint cozero sets are completely separated.



# *F*-spaces of weight c

Remember: X is an F-space if every finitely generated ideal in  $C^*(X)$  is principal.

Or, somewhat more topological: disjoint cozero sets are completely separated.

Or, for normal spaces: disjoint cozero sets have disjoint closures.



# Equality of dimensions

## Theorem (CH)

## For every compact F-space, X, of weight c we have

 $\dim X = \operatorname{ind} X = \operatorname{Ind} X$ 



# Equality of dimensions

## Theorem (CH)

For every compact F-space, X, of weight c we have

 $\dim X = \operatorname{ind} X = \operatorname{Ind} X$ 

#### Proof

The inequalities dim  $X \leq \text{ind } X \leq \text{Ind } X$  hold for *every* compact space.



# Proof, continued

#### Proof

The interesting part is the proof of  $\operatorname{Ind} X \leq \dim X$ .



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# Proof, continued

#### Proof

The interesting part is the proof of  $\operatorname{Ind} X \leq \dim X$ . Given disjoint closed sets *A* and *B* we build a partition, *L*, between them with dim  $L \leq \dim X - 1$ .



# Proof, continued

#### Proof

The interesting part is the proof of Ind  $X \leq \dim X$ . Given disjoint closed sets A and B we build a partition, L, between them with dim  $L \leq \dim X - 1$ . How: we have  $\aleph_1$  many potential basic open covers of L of size dim X + 1; enumerate them:  $\langle U_{\alpha} : \alpha < \omega_1 \rangle$ .



# Proof, continued

#### Proof

The interesting part is the proof of  $\operatorname{Ind} X \leq \dim X$ .

Given disjoint closed sets A and B we build a partition, L, between them with dim  $L \leq \dim X - 1$ .

How: we have  $\aleph_1$  many potential basic open covers of L of size dim X + 1; enumerate them:  $\langle \mathcal{U}_{\alpha} : \alpha < \omega_1 \rangle$ .

Build increasing sequences  $\langle C_{\alpha} : \alpha < \omega_1 \rangle$  and  $\langle D_{\alpha} : \alpha < \omega_1 \rangle$  of cozero sets, with  $C_{\alpha} \cap D_{\alpha} = \emptyset$  for all  $\alpha$ .



# Proof, continued

#### Proof

## At stage $\alpha$ , check if $C_{\alpha} \cup D_{\alpha} \cup \bigcup \mathcal{U}_{\alpha} = X$ .



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# Proof, continued

#### Proof

At stage  $\alpha$ , check if  $C_{\alpha} \cup D_{\alpha} \cup \bigcup \mathcal{U}_{\alpha} = X$ . In that case take a refinement  $\{O\} \cup \mathcal{V}_{\alpha}$  of  $\{C_{\alpha} \cup D_{\alpha}\} \cup \mathcal{U}_{\alpha}$  whose closures have empty intersection.



# Proof, continued

#### Proof

At stage  $\alpha$ , check if  $C_{\alpha} \cup D_{\alpha} \cup \bigcup \mathcal{U}_{\alpha} = X$ . In that case take a refinement  $\{O\} \cup \mathcal{V}_{\alpha}$  of  $\{C_{\alpha} \cup D_{\alpha}\} \cup \mathcal{U}_{\alpha}$  whose closures have empty intersection. Take  $C_{\alpha+1}$  and  $D_{\alpha+1}$  such that  $C_{\alpha} \cup \bigcap_{U \in \mathcal{U}_{\alpha}} \operatorname{cl} V_{U} \subseteq C_{\alpha+1}$  and  $D_{\alpha} \subseteq D_{\alpha+1}$ .



# Proof, continued

#### Proof

At stage  $\alpha$ , check if  $C_{\alpha} \cup D_{\alpha} \cup \bigcup \mathcal{U}_{\alpha} = X$ . In that case take a refinement  $\{O\} \cup \mathcal{V}_{\alpha}$  of  $\{C_{\alpha} \cup D_{\alpha}\} \cup \mathcal{U}_{\alpha}$  whose closures have empty intersection. Take  $C_{\alpha+1}$  and  $D_{\alpha+1}$  such that  $C_{\alpha} \cup \bigcap_{U \in \mathcal{U}_{\alpha}} \operatorname{cl} V_{U} \subseteq C_{\alpha+1}$  and  $D_{\alpha} \subseteq D_{\alpha+1}$ . Apart from some technicalities this works.









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# A very general theorem

## Theorem (CH)

Let X be a compact F-space of weight c. Then X has a base  $\{B_{\alpha} : \alpha < \omega_1\}$  with the following property



# A very general theorem

## Theorem (CH)

Let X be a compact F-space of weight c. Then X has a base  $\{B_{\alpha} : \alpha < \omega_1\}$  with the following property: whenever F is a finite subset of  $\omega_1$  the intersection

$$\bigcap_{\alpha \in F} \operatorname{Fr} B_{\alpha}$$

has dimension at most dim Fr  $B_{\min F} - |F| + 1$ .



# About the proof

It uses a simultaneous version of the proof of  $\operatorname{Ind} X \leq \dim X$ .



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In the separable metric case one can build a partition, L, such that

 $\dim(L \cap D) \leqslant \dim D - 1$ 

for countably many closed sets D at once.



# About the proof

It uses a simultaneous version of the proof of  $\text{Ind } X \leq \dim X$ . In the separable metric case one can build a partition, *L*, such that

 $\dim(L \cap D) \leqslant \dim D - 1$ 

for countably many closed sets D at once. In the case of a compact F-space of weight c, assuming CH, you can do this in one go for  $\aleph_1$  many closed sets.

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## A special case

#### Theorem (CH)

Let X be a compact F-space of weight  $\mathfrak{c}$  and dimension n. Then X has a base  $\{B_{\alpha} : \alpha < \omega_1\}$  with the following property



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## A special case

#### Theorem (CH)

Let X be a compact F-space of weight  $\mathfrak{c}$  and dimension n. Then X has a base  $\{B_{\alpha} : \alpha < \omega_1\}$  with the following property:

$$\bigcap_{\alpha\in F}\operatorname{Fr}B_{\alpha}=\emptyset$$

whenever F is a subset of  $\omega_1$  with n + 1 elements.



## A finite-to-one map

# We may assume our base consists of regular open sets $(B_{\alpha} = \operatorname{int} \operatorname{cl} B_{\alpha}).$



# A finite-to-one map

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# A finite-to-one map

We may assume our base consists of regular open sets  $(B_{\alpha} = \operatorname{int} \operatorname{cl} B_{\alpha})$ . Take the Boolean subalgebra, B, of RO(X) generated by our base. Then the natural map from the Stone space of B onto X is (at most)  $2^{n}$ -to-one.



#### A finite-to-one map

#### Bummer! $2^n > n+1$ (when $n \ge 2$ ).



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### A finite-to-one map

Bummer!  $2^n > n+1$  (when  $n \ge 2$ ).

We have an other proof, with the same result:  $2^n$ .







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#### The first question that should occur to everyone has an answer:



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The first question that should occur to everyone has an answer: There is a compact *F*-space of weight  $c^+$  with non-coinciding dimensions (my student Jan van Mill).



# An example

- The first question that should occur to everyone has an answer:
- There is a compact *F*-space of weight  $c^+$  with non-coinciding dimensions (my student Jan van Mill).
- This parallels the 'classic' case: there are compact spaces of weight  $\aleph_1$  with non-coinciding dimensions.



### What if CH fails?

# The second question that should occur to everyone has no answer (yet).



## What if CH fails?

The second question that should occur to everyone has no answer (yet).

One possibility: there are many compact spaces of weight  ${\mathfrak c}$  with non-coinciding dimensions.



### What if CH fails?

# Take such a space, X, for example with dim X = 1 and ind X = Ind X = 2.



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Take such a space, X, for example with dim X = 1 and ind X = Ind X = 2. Consider  $Y = \omega \times X$  and  $Y^* = \beta Y \setminus Y$ .



#### What if CH fails?

Take such a space, X, for example with dim X = 1 and ind X = Ind X = 2. Consider  $Y = \omega \times X$  and  $Y^* = \beta Y \setminus Y$ . By our first result we have dim  $Y^* = \text{ind } Y^* = \text{Ind } Y^*$  if CH holds.



## What if CH fails?

# Last year's tutorial: dim $C = \dim X = 1$ for every component C of $Y^*$ .



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Last year's tutorial: dim  $C = \dim X = 1$  for every component C of  $Y^*$ .

Also dim  $Y^* \leq \dim \beta Y = 1$ , so dim  $Y^* = 1$ .



#### What if CH fails?

Last year's tutorial: dim  $C = \dim X = 1$  for every component C of  $Y^*$ .

Also dim  $Y^* \leq \dim \beta Y = 1$ , so dim  $Y^* = 1$ .

Hence(!): Ind  $Y^* = 1 < 2 = \text{Ind } \beta Y$  (if CH).



### What if CH fails?

#### What can be said if CH fails? In particular models where CH fails.



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## What if CH fails?

# What can be said if CH fails? In particular models where CH fails. Could it be that Ind $Y^* = 2$ in some such model?



### What if CH fails?

What can be said if CH fails? In particular models where CH fails. Could it be that Ind  $Y^* = 2$  in some such model? There are many X to play with.





#### The third question on everyone's lips



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### What with $2^n$ ?

The third question on everyone's lips: can  $2^n$  be brought down to n + 1?



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(As it should be.)



### What with $2^n$ ?

The third question on everyone's lips: can  $2^n$  be brought down to n + 1?

(As it should be.) We have no idea.







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#### J. van Mill,

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# Let us thank the organizers









